Clinical Policy: Neonatal Abstinence Syndrome Guidelines

Description
Maternal drug use and intrauterine exposure of the fetus during pregnancy can lead to drug withdrawal in the infant after delivery. Clinically important neonatal withdrawal most commonly results from intrauterine opioid exposure. However, maternal use of central nervous system depressants (e.g., benzodiazepines, barbiturates and alcohol) and other drugs also results in signs of neonatal symptoms/withdrawal in exposed infants. Neonatal opioid withdrawal syndrome (NOWS), describes opioid-only withdrawal symptoms while Neonatal Abstinence Syndrome (NAS) describes neonates who are at-risk for poly-substance exposure, including opioids. The term NAS will be used here for both polysubstance and opioid-only exposure.

Signs of withdrawal will develop in 55 - 94% of neonates exposed to opioids in utero. Typical signs of withdrawal from specific drugs occur based on the half-lives of elimination of the drug. Maternal use of multiple drugs during pregnancy will also have an impact on the onset and severity of NAS. In general though, if one week has elapsed between the last maternal opioid use and delivery, the incidence of NAS is relatively low. Table 1 below lists common drugs abused along with the typical onset of NAS symptoms.

Table 1. Common Drug NAS Symptom Onset

<table>
<thead>
<tr>
<th>Drug</th>
<th>Typical onset</th>
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<tbody>
<tr>
<td>Heroin</td>
<td>Within 24 hrs with delay up to 5-7 days or later</td>
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<tr>
<td>Methadone</td>
<td>24-72 hrs with delay up to 5-7 days later</td>
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<tr>
<td>Morphine &amp; Hydrocodone</td>
<td>Within 3 days</td>
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<tr>
<td>Buprenorphine</td>
<td>Within 40 hrs</td>
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<tr>
<td>Ethanol</td>
<td>3-12 hrs</td>
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<tr>
<td>Barbiturate</td>
<td>4-7 days with delay up to 14 days</td>
</tr>
<tr>
<td>Diazepam</td>
<td>12 days</td>
</tr>
<tr>
<td>Chlordiazepoxide</td>
<td>21 days</td>
</tr>
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</table>

Policy/Criteria
I. It is the policy of health plans affiliated with Centene Corporation® that the management of neonatal abstinence syndrome is medically necessary at the indicated level of care for the following circumstances:
   A. Asymptomatic infants at risk for NAS due to maternal drug history are appropriate in one of the following:
      1. Transitional level or level 1 nursery for 4 to 7 days for observation using the modified Finnegan’s Neonatal Abstinence Scoring Tool, with duration of observation for symptoms dependent on the drugs used during pregnancy (see Table 1 above);
      2. Level 2 nursery for 4-7 days when assessed and treated using the Eat, Sleep, Console (ESC) approach, depending on the drugs used during pregnancy (see Table 1 above);
CLINICAL POLICY
Neonatal Abstinence Syndrome Guidelines

B. Symptomatic infants should be managed using the appropriate nationally recognized clinical decision support tools if assessed and treated per modified Finnegan’s scoring, or are appropriate in Level 2 nursery if assessed and treated by ESC.

Note: Once the infant is weaned to a 6 hour dosing interval of medications to treat withdrawal symptoms, home-based withdrawal therapy may be considered if no more than 2 modified Finnegan’s scores are ≥ 8 or 1 score is > 10 in the prior 48 hours and all of the discharge criteria in section I.C. are met. The home environment, caregiver, and support team must be taken into consideration.

If treated with pharmacologic therapy using ESC, discharge should be consistent with ESC recommendations.

C. Discharge Criteria
Prior to discharge home with home health, the following must be met:
1. Infant is clinically stable and meets all of the following criteria:
   a. Infant is taking oral feeds and gaining weight satisfactorily; and
   b. Infant is physiologically stable with normal vital signs including blood pressure; and
   c. Infant is showing neurobehavioral recovery evidenced by reaching full alert state, responding to social stimuli, and consolable with appropriate measures
2. Home situation is assessed and deemed adequate
3. Parent or caretaker is agreeable with the plan of care
4. Appropriate transportation is available for follow up appointments
5. Home care services are arranged for nursing assessments
6. The responsible physician (neonatologist, primary care pediatrician) and back-up health care facility (NICU, community hospital) should be clarified to the family and home care agency prior to discharge.

II. It is the policy of health plans affiliated with Centene Corporation® that if the infant is clinically stable but remains in the nursery due to social issues, these days are considered not medically necessary unless there is a benefit coverage requiring such days.

Background
The diagnosis and management of NAS is briefly described below. The presentation of NAS is widely variable in the onset of symptoms and types and severity of clinical manifestations. Universal screening and subsequent close observation of high risk neonates is essential for timely diagnosis and treatment of the neonate.

A. Screening – the following screening steps should be taken
1. Universal screening for maternal drug abuse
2. Maternal toxicology testing in known or suspected cases of NAS based on any of the following characteristics: (note – legal implications of testing and need for consent from the mother may vary among states)
   a. Known history of maternal substance abuse
   b. Maternal engagement in high risk behaviors
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CLINICAL POLICY

Neonatal Abstinence Syndrome Guidelines

c. Disclosure of recent substance abuse

d. Acting in an intoxicated manner on admission or during office visits

e. Previous unexplained late fetal demise, repeated spontaneous abortion

f. Precipitous labor, placental abruption, hypertensive episodes, severe mood swings

g. Cerebrovascular accidents, myocardial infarction

3. Newborn urine and/or meconium screening can be performed for recent substance abuse.

a. False-negatives may occur more commonly with urine testing due to urinary excretion of most drugs being relatively short.

b. Meconium screening yields false-negatives less frequently than urine screening; however results are not typically available for days to weeks.

c. Umbilical cord tissues samples may become a more viable screening tool in infants suspected of in utero exposure.

B. Observation/Assessment

1. Infants at risk for NAS should be observed in the neonatal nursery for signs of consistent withdraw. The modified Finnegan’s Neonatal Abstinence Scoring Tool is the predominant assessment tool used in the United States for quantifying the severity of neonatal withdrawal signs. Available at http://www.academyofneonatalnursing.org/NAS/FinneganNASTool.pdf

2. Timing and severity of withdrawal symptoms depends upon the maternal drug(s) used and last time of use. Duration of neonatal nursery observation should be dependent on the half-life of the drug based on maternal drug use history.

a. For example, maternal use of a drug with a short half-life of 4 hours (e.g. hydrocodone) indicates an infant may be safely discharged if there are no signs of withdrawal by 3 days of age.

b. Maternal use of a drug with a prolonged half-life (e.g. methadone) indicates an infant should be observed for a minimum of 5 to 7 days.

C. Diagnosis

1. Withdrawal symptoms such as seizures, fever, irritability, and poor feeding can all be signs of other conditions. Appropriate assessment and diagnostic tests are necessitated to differentiate NAS from other diagnoses.

2. Clinical diagnosis is made based on maternal history of drug use and neonatal screening, observation, and assessment findings.

D. Treatment

1. Nonpharmacologic

a. Infants showing early signs of withdrawal should have treatment directed at minimizing environmental stimuli. This includes placing the infant in a dark, quiet environment, careful positioning and comfort techniques such as swaddling, responding early to an infant’s signals, and frequent small feedings of calorically dense formula or fortified breast milk. Rooming-in (ie, the colocation of maternal and infant care after delivery and beyond), has been shown to reduce NAS severity.

b. Careful observation for signs of fever, dehydration or weight loss.

c. Ensure adequate sleep and caloric intake.

d. Additional supportive care such as IV fluids, electrolyte replacement and gavage feedings may be indicated to stabilize the infant in the acute phase and obviate the need for pharmacologic intervention.
Neonatal Abstinence Syndrome Guidelines

2. Pharmacologic
   a. Pharmacologic therapy should be reserved for the infants with moderate to severe
      signs of NAS, and to relieve complications of such, when nonpharmacologic support
      is ineffective. Drug withdrawal may be life-threatening, but it is ultimately a self-
      limited process and unnecessary pharmacologic treatment prolongs exposure to
      harmful drugs. Studies have only shown clear benefits of pharmacologic therapy for
      the short-term amelioration of clinical signs of NAS. Long term benefits or harm have
      not been clearly studied.
   b. The optimal screening modified Finnegan’s score for the initiation of pharmacologic
      therapy is not clearly defined. However, pharmacologic therapy is generally started
      for the neonate who has 3 or more consecutive scores above 8 or 2 consecutive scores
      averaging 11 or greater despite adequate supportive care.
   c. Indications for pharmacologic therapy include:
      i. Seizures
      ii. Poor feeding with failure to gain weight
      iii. Inability to sleep despite nonpharmacologic treatment
      iv. Fever unrelated to another source
      v. Significant diarrhea and/or vomiting resulting in weight loss or hypovolemia
   d. When nonpharmacologic treatment fails, the recommended first drug of choice is an
      opioid, either morphine or methadone. The second drug of choice is phenobarbital if
      the opiate does not control symptoms. Paregoric and diazepam are no longer
      recommended.
   e. The general course of opioid therapy is determined by the response of the infant
      based on abstinence scoring. If the infant remains symptomatic based on abstinence
      scoring, an increased dose is indicated. Once the infant responds to therapy with a
      decrease in scoring and weight gain is established, weaning of the medication can
      begin. Metabolic demands need to be considered as part of the weaning process. The
      rate of wean is dependent on the infant’s clinical status with use of the abstinence
      score facilitating this process.
   f. Weaning may occur every 24 to 48 hours for infants on single drug regimens and no
      more frequently than every 48 hours for infants on multiple drug regimens or those
      who have recently failed a wean. The use of clinical judgment in the management of
      pharmacotherapy is vital.

Prematurity
Preterm infants have been found to be at lower risk of drug withdrawal with less severe and/or
prolonged courses of NAS. Several possible causes of this effect include relation to
developmental immaturity of the CNS in preterm infants, lower total drug exposure, less fat
depots of the drug, or possibly that the severity of NAS is more difficult to determine in preterm
infants due to scoring tools being developed for full-term infants.

Opioids
The clinical presentation of NAS is dependent on multiple variables, including opioid used;
maternal drug use history; maternal, placental and infant metabolism; and other factors. Because
Neonatal Abstinence Syndrome Guidelines

Opioid receptors focus in the central nervous system (CNS) and gastrointestinal (GI) tract, the majority of NAS symptoms reflect CNS irritability, autonomic over-reactivity, and GI tract dysfunction. Excess stimuli and hunger exacerbate the perceived severity of NAS.

**Cocaine and other CNS stimulants**

Neurobehavioral symptoms from intrauterine exposure to CNS stimulants such as cocaine and amphetamine frequently occur on the second or third day postnatal. Symptoms include irritability, hyperactivity, tremors, high-pitched cry, and excessive sucking. However, since cocaine and its metabolites can be detected in the neonatal urine for up to 7 days postnatal, symptoms may reflect drug effect rather than withdrawal. Pharmacological treatment of infants with neurobehavioral symptoms due to intrauterine cocaine exposure has not been carefully evaluated, thus no standard of care exists.

**Selective serotonin reuptake inhibitors**

Selective serotonin reuptake inhibitors (SSRIs) are the most common class of anti-depressants used to treat depression in the general population and during pregnancy. Studies have linked third trimester use of SSRIs to a group of symptoms including continuous crying, irritability, jitteriness, and/or restlessness, shivering, fever, tremors, hypertonia or rigidity, tachypnea or respiratory distress, feeding difficulty, sleep disturbance, hypoglycemia, and seizures. Onset of these symptoms generally begins several hours to several days after birth and subsides within 1 to 2 weeks. It is not clear if these symptoms are a reflection of serotonin syndrome or SSRI withdrawal. Clinicians should arrange for early follow up after hospital discharge for infants at risk from the effects of SSRI exposure in utero.

**Eat, Sleep, Console Assessment Approach**

The Finnegan scoring system, the most widely adopted scoring system, and modified versions of this tool are designed for use in term infants. Other assessment tools have been developed including the Eat, Sleep, Console (ESC) assessment approach that evaluates the neonate's ability to eat, sleep, and be consoled. The ESC method’s sole principle is that the treatment of the infant (both non-pharmacologic and pharmacologic treatment) should be based on infant function and comfort, rather than reducing signs and symptoms of withdrawal. The use of this tool emphasizes maternal involvement with a goal of reducing opioid therapy and length of birth hospitalization. Use of this tool has only been reported as part of a quality improvement initiative without the human subjects oversight (ie, institutional review approval) or risk determination required of clinical studies. Further study and validation of its effectiveness are required prior to adopting this tool for routine use for infants with NAS.

<table>
<thead>
<tr>
<th>Reviews, Revisions, and Approvals</th>
<th>Revision Date</th>
<th>Approval Date</th>
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<tbody>
<tr>
<td>Policy created; reviewed by Neonatologist</td>
<td>10/13</td>
<td>10/13</td>
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<tr>
<td>Changed Policy/Criteria from Episode Days to Asymptomatic and Symptomatic infants criteria</td>
<td>10/14</td>
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<tr>
<td>Updated criteria for symptomatic infants to refer to decision support tool criteria</td>
<td>10/14</td>
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<tr>
<td>Updated Asymptomatic infants criteria to allow for observation in nursery according to maternal drug history</td>
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# CLINICAL POLICY

## Neonatal Abstinence Syndrome Guidelines

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<thead>
<tr>
<th>Reviewed by Neonatologist</th>
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<tr>
<td>Converted into new template</td>
<td>10/15</td>
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<tr>
<td>Clarified language in Policy/Criteria section I.B.2</td>
<td>10/15</td>
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<tr>
<td>Added short explanatory paragraph at beginning of Background section</td>
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<tr>
<td>References reviewed and updated. Reviewed by neonatologist.</td>
<td>09/16 10/16</td>
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<tr>
<td>Changed minimum days of observation to 4 in I.A, to reflect the half-life of common drugs contributing to NAS. References reviewed and updated.</td>
<td>10/17 10/17</td>
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<tr>
<td>References reviewed and updated.</td>
<td>09/18 09/18</td>
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<tr>
<td>Condensed language in sections I.A and I.B with no clinical significance. Moved statement that infants with particular Finnegan scores may be managed appropriately at home from criteria to a note.</td>
<td>05/19</td>
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<tr>
<td>References reviewed and updated. Updated description regarding NAS and NOWS. Updated background information regarding rooming-in and Eat, Sleep, Console. Reviewed by Neonatologist.</td>
<td>09/19 09/19</td>
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<tr>
<td>In asymptomatic infants section: specified that transitional care or newborn level 1 is appropriate if being assessed with modified Finnegan’s scoring; added an alternative option for Level 2 nursery if being assessed and treated using ESC. Updated background relating to ESC. References reviewed and updated. Reviewed by neonatologists. Replaced “members” with “members/enrollees” in all instances.</td>
<td>09/20 09/20</td>
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<tr>
<td>Annual review. References reviewed, updated and reformatted. Changed “Last Review Date” in header to “Date of Last Revision” and changed “Date” in Revision log to “Revision Date.” Website for Modified Finnegan scoring added to the background under B.1. Clarifying edits added to I.A.1 regarding “duration of observation for symptoms.” Clarifying edits added to Note in I.B regarding “medications to treat withdrawal symptoms.”</td>
<td>09/21 09/21</td>
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## References

Family Medicine

Clinical Policy

Neonatal Abstinence Syndrome Guidelines


Important Reminder

This clinical policy has been developed by appropriately experienced and licensed health care professionals based on a review and consideration of currently available generally accepted standards of medical practice; peer-reviewed medical literature; government agency/program approval status; evidence-based guidelines and positions of leading national health professional organizations; views of physicians practicing in relevant clinical areas affected by this clinical policy; and other available clinical information. The Health Plan makes no representations and accepts no liability with respect to the content of any external information used or relied upon in developing this clinical policy. This clinical policy is consistent with standards of medical practice current at the time that this clinical policy was approved. “Health Plan” means a health plan that has adopted this clinical policy and that is operated or administered, in whole or in part, by Centene Management Company, LLC, or any of such health plan’s affiliates, as applicable.

The purpose of this clinical policy is to provide a guide to medical necessity, which is a component of the guidelines used to assist in making coverage decisions and administering benefits. It does not constitute a contract or guarantee regarding payment or results. Coverage decisions and the administration of benefits are subject to all terms, conditions, exclusions and limitations of the coverage documents (e.g., evidence of coverage, certificate of coverage, policy, contract of insurance, etc.), as well as to state and federal requirements and applicable Health Plan-level administrative policies and procedures.

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CLINICAL POLICY

Neonatal Abstinence Syndrome Guidelines

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Note: For Medicaid members/enrollees, when state Medicaid coverage provisions conflict with the coverage provisions in this clinical policy, state Medicaid coverage provisions take precedence. Please refer to the state Medicaid manual for any coverage provisions pertaining to this clinical policy.

Note: For Medicare members/enrollees, to ensure consistency with the Medicare National Coverage Determinations (NCD) and Local Coverage Determinations (LCD), all applicable NCDs, LCDs, and Medicare Coverage Articles should be reviewed prior to applying the criteria set forth in this clinical policy. Refer to the CMS website at http://www.cms.gov for additional information.

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